

12:45 p.m. Nuts & Bolts of a Successful Compliance Program
Danielle Lin, VP, Canadian Banking & Consumer Legislation Compliance, TD Bank Group
Geoffrey Ritchie, General Counsel & Corporate Secretary, Mawer Investment Management Inc.
Joann Sochor, AVP, Corporate Compliance, Sun Life Financial

1:30 p.m. Risk Management Fundamentals: Types of Risk & Qualitative Control
Waqas Rana, Vice President and Chief Compliance Officer, The Co-Operators Group Limited
Liane Kim, Risk Management Executive

3:00 p.m. Refreshment Break

3:15 p.m. Fireside Chat: Navigating the Interactions Between Compliance, Risk & Legal
Moderator:
Joann Sochor, AVP, Corporate Compliance, Sun Life Financial

Panel:

Jasmin Jabri, Head of Compliance, Invesco Canada
Katherine Macpherson, National Leader, Operational Risk: Financial Services Advisory, Ernst & Young LLP
Geoffrey Ritchie, General Counsel & Corporate Secretary, Mawer Investment Management Inc.

4:30 p.m. Round Table Discussion: The Delegates Speak!
Joan Sochor, AVP, Corporate Compliance, Sun Life Financial
Geoffrey Ritchie, General Counsel & Corporate Secretary, Mawer Investment Management Inc.
Jasmin Jabri, Head of Compliance, Invesco Canada
Katherine Macpherson, National Leader, Operational Risk: Financial Services Advisory, Ernst & Young LLP

5:00 p.m. Program Concludes