

GAMBLING BY THE BOOK: ENSURING COMPLIANCE WITH FEDERAL AND PROVINCIAL GAMING LAWS

The gambling industry is fraught with high risks as well as high rewards. This program helps gaming professionals stay on the right side of the compliance line.

Created by the developers of OsgoodePD's *Certificate in Gaming Law*, this new, full-day program will provide you with a solid knowledge base in the area of compliance including:

- Everything you need to know about the current legal context surrounding the gaming industry
- The evolving risk-based approach to compliance
- How to effectively avoid or manage the risks of non-compliance
- Identifying and mitigating common risks such as fraud

Register today at:

osgoodepd.ca/gambling

Program Chair

Don Bourgeois

Counsel Gaming and Gambling,
Fogler, Rubinoff LLP; Principal,
Gaming & Regulation Group Inc.

Date and Time

Thursday, March 1, 2018

9:00 a.m. - 4:30 p.m. EST

In Person or Webcast

Online Replay: April 20, 2018

Location

**Osgoode Professional
Development**

1 Dundas St. West, 26th Floor
Toronto, ON

Gambling by the Book: Ensuring Compliance with Federal and Provincial Gaming Laws

Gaming and gambling in Canada is a multi-billion-dollar-a-year industry. A significant difference between gaming and other entertainment sectors is the high level of regulatory oversight and the direct involvement of Crown corporations in the operation of casinos, internet gaming, lotteries, horse racing and other gambling activities.

Gambling by the Book offers a unique and comprehensive overview of the legal context surrounding the gambling industry in Canada and the evolving risk-based approach to compliance.

Learn from some of Canada's foremost gaming experts as they provide practical and timely advice on:

- Managing the compliance relationship with regulators such as the Alcohol and Gaming Commission of Ontario and British Columbia's Gaming Policy and Enforcement Branch
- Staying on the right side of the responsible gambling and anti-money laundering rules and regulations
- Understanding the roles and responsibilities and mitigating the risks faced by directors, senior executives, internal audit, external audit, Chief Compliance Officer and compliance departments, General Counsel and outside counsel
- Understanding variable compliance based standards and regimes depending on the nature of the operation
- Navigating the complex interrelationships amongst regulatory regimes and legal requirements, such as securities, employment, and advertising and marketing law
- Managing the relationship with Crown corporations and lottery licensees and their responsibilities for the "conduct and management" of lottery schemes

Who Should Attend?

- Accountants and compliance officers employed in the gambling sector or who provide professional services to gaming operators
- Gaming suppliers
- Gaming lawyers
- Crown corporations and regulators

Agenda

8:30 a.m.

Registration and Continental Breakfast

9:00 a.m.

Chair's Overview and Introduction

Don Bourgeois, Counsel Gaming and Gambling, Fogler, Rubinoff LLP; Principal, Gaming & Regulation Group Inc.

This opening session will identify the basic concepts of compliance and why it's so important in the context of gaming. A brief historical analysis of the gaming industry will also be provided.

9:30 a.m.

Gaming Risks and How To Mitigate Them

Terry McNally, Chief Compliance & Risk Officer, Gateway Casinos & Entertainment Ltd.

What are the risks in the gaming industry that need mitigating and how are they assessed? You'll find out in this session as various key gaming risks are discussed including:

- Fraud detection
- Technology
- Money
- Human resources

Compliance is a matter of serious concern to boards of directors, senior management and shareholders. Learn how to develop a compliance program that addresses the expectations of directors, executives, regulators and Crown corporations.

10:15 a.m.

Developing a Control Environment and Meeting the AGCO Standards

Sukvinder Singh, Director, Audit and Financial Investigations, Alcohol and Gaming Commission of Ontario

What exactly are you looking for in an operator's control environment? What are the regulator's expectations and what impact will they have in developing and implementing a compliance program? In this session, you'll hear from a senior official at the AGCO on appropriately meeting standards and requirements and how to develop control activities based on the regulatory risks the AGCO has identified.

11:00 a.m.

Refreshment Break

11:15 a.m.

Identifying Roles and Responsibilities and Managing Relationships

Luke Orchard, SVP, Chief Compliance and Risk Management Officer, IGT

In this session, an expert who has been involved in all aspects of gaming (lottery, internet, slot machines, etc.) will present a compliance perspective in how you relate to the different stakeholders including the CEO, Chief of Technology Officer, Chair of Compliance Committee, etc. As a gaming professional who knows and has significant experience with all systems from a compliance perspective, he will address the crucial questions:

- How does my relationship with each stakeholder differ and how do I manage them all?
- How do I deal with differing regulatory requirements from different jurisdictions?

12:00 p.m.

Luncheon

12:45 p.m.

A Discussion with Canadian Operators and Suppliers

Moderator:

Don Bourgeois, Counsel Gaming and Gambling, Fogler, Rubinoff LLP; Principal, Gaming & Regulation Group Inc.

Domenico Roma, Director, Internal Audit, Caesars Windsor

Kelly Tessier, Chief Compliance Officer, Great Blue Heron Casino

Under a risk-based approach to compliance critical factors include the size and scope of operations of regulated entities. This panel discussion will examine how different regulated entities approach compliance and internal compliance audits, in view of the entities' differing sizes and the types of gaming activities they undertake. The panelists will also discuss how size and scope can affect their role in internal audits, including relationships and reporting.

1:45 p.m.

Managing Relationships (Crown Corporations, Government, Public, External and In-House Counsel)

Moderator:

Don Bourgeois, Counsel Gaming and Gambling, Fogler, Rubinoff LLP; Principal, Gaming & Regulation Group Inc.

Rob Kroeker, Chief Compliance Officer & VP Legal, Compliance, Security, British Columbia Lottery Corporation

Carolyn Marcotte, Vice-President, Legal and Compliance, Caesars Windsor

Jim Warren, Principal, Gaming & Regulation Group Inc.

There are several key relationships for operators and suppliers involved in gaming in Canada – one of which is the relationship with the Crown corporation. Compliance is critical to the Crown corporations, as agents of governments responsible for the "conduct and management" of lottery schemes. This panel will examine how those relationships should be managed.

The legal relationship is another aspect of managing relationships – in this case, the distinct role that is played by General Counsel in an operator who may be a link amongst various participants in compliance.

3:15 p.m.

Refreshment Break

3:30 p.m.

Anti-Money Laundering and the Gaming Industry

Moderator:

Derek Ramm, Director, Anti-Money Laundering, Alcohol and Gaming Commission of Ontario

The most recent AML regulations from FINTRAC will be the focus of this closing session as you'll find out what you need to do to your control environment to fill in the gaps and ensure that you're in full regulatory compliance.

4:30 p.m.

Program Ends

Here's what past attendees said about OsgoodePD's *Certificate in Gaming Law*:

"A very good program. Thank you!"

Rose Marie Nikki Maniowabi, Business Advisor/Specialist, Wikwemikong Development Commission

"All of the sessions were great!"

Twila Roberts, Property Valuation Specialist, The Municipal Property Assessment Corporation

"Excellent. I learned a lot and met a number of people from my industry. I am very glad that I took this program. Thank you!"

Catherine Meade, Director of Compliance, Ontario Lottery and Gaming Corporation

Program Chair

Don Bourgeois

Counsel, Gaming and Gambling, Fogler, Rubinoff LLP;
Principal, Gaming & Regulation Group Inc.

Faculty Includes

Rob Kroeker

Chief Compliance Officer
& VP Legal, Compliance,
Security, British Columbia
Lottery Corporation

Carolyn Marcotte

Vice-President,
Legal and Compliance,
Caesars Windsor

Terry McNally

Chief Compliance & Risk
Officer, Gateway Casinos &
Entertainment Ltd.

Luke Orchard

SVP, Chief Compliance and
Risk Management Officer,
IGT

Derek Ramm

Director, Anti-Money
Laundering, Alcohol and
Gaming Commission of
Ontario

Domenico Roma

Director, Internal Audit,
Caesars Windsor

Sukvinder Singh

Director, Audit and Financial
Investigations, Alcohol and
Gaming Commission of
Ontario

Kelly Tessier

Chief Compliance Officer,
Great Blue Heron Casino

Jim Warren

Principal, Gaming &
Regulation Group Inc.

Registration Details

Fee per Delegate

\$595 plus HST

Fees include attendance, program materials, continental breakfast, lunch and break refreshments. Group discounts are available. Visit www.osgoodepd.ca/group-discounts for details. Please inquire about financial assistance.

Program Changes

We will make every effort to present the program as advertised, but it may be necessary to change the date, location, speakers or content with little or no notice. In the event of program cancellation, York University's and Osgoode Hall Law School's liability is limited to reimbursement of paid fees.

Cancellations and Substitutions

Substitution of registrants is permitted at any time. If you are unable to find a substitute, a full refund is available if a cancellation request is received in writing 14 days prior to the program date. If a cancellation request is made with less than 14 days notice, a \$75 administration fee will apply. No other refund is available.



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Register today at:

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