

# 11<sup>TH</sup> NATIONAL SYMPOSIUM ON MONEY LAUNDERING AND FINANCIAL CRIMES

Join a dynamic, multi-disciplinary faculty of leading authorities from Canada and abroad who will give you practical and critical insights into:

- Latest schemes, threats and effective legal responses
- Meeting the challenges of money market crime
- Information sharing and cross-border investigations
- Conducting net worth investigations; following, finding and effecting forfeiture of assets
- FINTRAC's current major initiatives and challenges
- How to effectively respond to financial investigations
- Key case law update and practical implications

Register today at:

[osgoodepd.ca/moneylaundering](http://osgoodepd.ca/moneylaundering)

## Program Chairs:

**Ian Bulmer**, Deputy Director,  
Ministry of the Attorney  
General (Ontario), Crown Law  
Office - Criminal

**Naomi M. Lutes**, Greenspan  
Humphrey Weinstein

## Keynote Speaker:

**Nick Kaufman**, International  
Defence Counsel and Former  
Prosecutor at the International  
Criminal Court

## Program Details:

DATE AND TIME:

**Friday, May 26, 2017**

**9:00 a.m.- 4:30 p.m. EST**

**In Person or Webcast**

LOCATION

**Osgoode Professional  
Development**

1 Dundas St. West, 26th Floor  
Toronto, ON

# 11th National Symposium on Money Laundering and Financial Crimes

If you are involved in the challenging work of combatting money laundering and financial crimes or defending those accused of these crimes, you know you must not only be up-to-date but ahead of the curve on recent trends, enforcement policies, investigation techniques, money laundering schemes, penalties and cryptocurrency.

Now in its 11th year, this *OsgoodePD* National Forum on Money Laundering and Financial Crimes once again brings together an experienced international faculty to equip you with the knowledge and effective strategies you need to tackle the complex issues, including:

- Bitcoin, Blockchain and other emerging technologies; threats and responses
- Latest trends and legal counterattack involving cybercrime and money laundering
- Net worth investigations drill-down
- Leading recent case law and its implications
- How to conduct effective cross-border investigations
- Working within parallel criminal and civil prosecutions
- Investigating, prosecuting and defending money market crimes

Don't miss this opportunity to have your questions answered and to learn, discuss and debate with the experts and your peers.

## Who Should Attend?

Law enforcement professionals, lawyers, regulatory fraud investigators, financial institution fraud and anti-money laundering investigators, compliance officers, forensic accountants.

## Keynote Speaker



**Nick Kaufman**, International Defence Counsel and Former Prosecutor at the International Criminal Court

### *"International Sanctions, Regimes and Asset Freezing"*

Mr. Kaufman's presentation will cover the far reaching effects of the sanctions regimes imposed by the United Nations, the U.S. Office for Foreign Asset Control and the European Union Council.

## Agenda

8:15

Registration and Continental Breakfast

9:00

### Welcome and Program Overview from the Chairs

**Ian Bulmer**, Deputy Director, Ministry of the Attorney General, (Ontario), Crown Law Office - Criminal

9:15

### Prosecuting Money Market Crimes: Risks and Rewards

**Jeff Kehoe**, Director, Investigations, Ontario Securities Commission

**Joan Barrett**, Deputy Director, Ministry of the Attorney General (Ontario), Crown Law Office - Criminal

**Inspector Ann Koenig**, RCMP, Officer in Charge, Greater Toronto Area Financial Crime, Toronto Integrated Market Enforcement Team

- Investigation powers of securities commissions and the new Joint Serious Offences Team
- Information sharing: challenges and opportunities
- Cross-Border investigations
- Parallel civil and criminal prosecutions
- The *Charter: Jarvis and Ling* update [2002 3 SCR 757] and [2002 3 SCR 814]

10:15

Refreshment Break

10:30

### Bitcoin, Blockchain and Emerging Technologies; Threats and Responses

**Peter J. Warrack**, CAMS, CBP, CFE, Director AML Advisory and Compliance Officer, Enterprise AML, Bank of Montreal

**Dwayne King**, CAMS, ACFCS, CBP, Manager, Anti-Money Laundering Further Investigations Team, TD Financial Group

**Jillian Friedman**, Digital Banking Lawyer,  
National Bank of Canada

- Understanding how new digital currencies work
- The nexus between cyber-crime and money laundering
- A lawyers guide to dealing with non-traditional forms of retainers

**11:30**

### Ontario's New Serious Fraud Office Initiative

**John Corelli**, General Counsel, Ministry of the Attorney General (Ontario), Crown Law Office-Criminal

The investigation and prosecution of complex, large scale frauds has posed challenges for state agencies across the globe. This presentation will review how different jurisdictions try to address these challenges, such as the UK Serious Fraud Office, and examine how similar models could be adopted in Canada.

**12:00**

### Luncheon

**12:30**

### Keynote Speaker

**Nick Kaufman**, International Defence Counsel and Former Prosecutor at the International Criminal Court

### *"International Sanctions, Regimes and Asset Freezing"*

Mr. Kaufman's presentation will cover the far reaching effects of the sanctions regimes imposed by the United Nations, the U.S. Office for Foreign Asset Control and the European Union Council. He will also deal with how these sanctions sometimes may be circumvented and offer guidance on how to navigate this complex area.

**1:30**

### Key Case Law Update

**Naomi M. Lutes**, Greenspan Humphrey Weinstein

**Melissa Adams**, Counsel, Ministry of the Attorney General (Ontario), Crown Law Office - Criminal

Discussion and debate on the latest cases, practical implications of the decisions and outstanding hot button issues from expert Crown and defence perspectives.

**2:30**

### FINTRAC: Theory and Practice

**Luc Major**, Manager, Strategic Intelligence and Data Exploitation Lab, FINTRAC

**Anil K. Kapoor**, Kapoor Barristers

- Update from FINTRAC: Detecting and deterring crime and terrorist financing
- Current money laundering trends
- Privacy issues
- How to respond to FINTRAC Orders
- Understanding a lawyer's duties and professional obligations

**3:15**

### Refreshment Break

**3:30**

### Living Beyond One's Means - Net Worth Investigations and Prosecutions

**Jennifer Fiddian-Green**, CPA, CA/IFA, CAMS, CFF, CFE, CFI, Partner, National AML Services Practice Leader, Forensics and Dispute Resolution Practice Leader, Grant Thornton LLP

**Michael S. McEachren**, Counsel, Team Leader – Integrated Proceeds of Crime Unit (O.R.O.), Public Prosecution Service of Canada

**Scott Bergman**, Cooper, Sandler, Shime & Bergman LLP

**Cst. Michael Robertson**, RCMP, GTA Financial Crime, Integrated Market Enforcement Teams (IMET)

- The "need to know" relevant accounting principles
- Prosecuting and defending *Criminal Code* reverse onus provisions and "serious criminality"
- Criminal asset forfeiture
- Major criminal cases
- Ethical considerations: Lessons for lawyers and the *Kenneth James* case review (*R. v. James, 2016 ONCJ 424*)

**4:30**

### Program Concludes

### Our participants say it best:

*"All the presenters were well-prepared and really knowledgeable in their fields."*

Regulatory Investigator

*"Presenters provided useful up-to-date information They really knew their subject area. A lot of my questions were answered."*

Financial Institution Compliance Officer

*"A great cross-section of timely topics, all relevant to my work and good practical tips."*

Law Enforcement Investigator

*"I appreciate the great mix of speakers from various fields – overall a very balanced learning program."*

Defence Lawyer

## Chairs

**Ian Bulmer**, Deputy Director,  
Ministry of the Attorney  
General (Ontario), Crown  
Law Office - Criminal

**Naomi M. Lutes**, Greenspan  
Humphrey Weinstein

## Faculty Includes

**Melissa Adams**, Counsel,  
Ministry of the Attorney  
General (Ontario), Crown  
Law Office - Criminal

**Joan Barrett**, Deputy  
Director, Ministry of the  
Attorney General (Ontario),  
Crown Law Office - Criminal

**Scott Bergman**, Cooper,  
Sandler, Shime & Bergman  
LLP

**John Corelli**, General  
Counsel, Ministry of the  
Attorney General (Ontario),  
Crown Law Office - Criminal

**Jennifer Fiddian-Green**,  
CPA, CA/IFA, CAMS, CFF, CFE,  
CFI, Partner, National AML  
Services Practice Leader,  
Forensics and Dispute  
Resolution Practice Leader,  
Grant Thornton LLP

**Jillian Friedman**, Digital  
Banking Lawyer, National  
Bank of Canada

**Anil K. Kapoor**, Kapoor  
Barristers

**Jeff Kehoe**, Director,  
Investigations, Ontario  
Securities Commission

**Dwayne King**, CAMS,  
ACFCS, CBP, Manager, Anti-  
Money Laundering Further  
Investigations Team, TD  
Financial Group

**Inspector Ann Koenig**,  
RCMP, Officer in Charge,  
Greater Toronto Area  
Financial Crime, Toronto  
Integrated Market  
Enforcement Team

**Luc Major**, Manager,  
Strategic Intelligence and  
Data Exploitation Lab,  
FINTRAC

**Michael S. McEachren**,  
Counsel, Team Leader –  
Integrated Proceeds of  
Crime Unit (O.R.O.), Public  
Prosecution Service of  
Canada

**Cst. Michael Robertson**,  
RCMP, GTA Financial  
Crime, Integrated Market  
Enforcement Teams (IMET)

**Peter J. Warrack**, CAMS,  
CBP, CFE, Director AML  
Advisory and Compliance  
Officer, Enterprise AML,  
Bank of Montreal

## Registration Details

### Fee per Delegate

**\$495 plus HST**

Fees include attendance, program materials, continental breakfast, lunch and break refreshments. Group discounts are available. Visit [www.osgoodepd.ca/group-discounts](http://www.osgoodepd.ca/group-discounts) for details. Please inquire about financial assistance.

### Program Changes

We will make every effort to present the program as advertised, but it may be necessary to change the date, location, speakers or content with little or no notice. In the event of program cancellation, York University's and Osgoode Hall Law School's liability is limited to reimbursement of paid fees.

### Cancellations and Substitutions

Substitution of registrants is permitted at any time. If you are unable to find a substitute, a full refund is available if a cancellation request is received in writing 14 days prior to the program date. If a cancellation request is made with less than 14 days notice, a \$75 administration fee will apply. No other refund is available.



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[osgoodepd.ca](http://osgoodepd.ca)

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